

The Kelkar Education Trust's
V. G. Vaze College of Arts, Science and Commerce
(Autonomous)



Revised Syllabus and
Question Paper Pattern of Courses of
BACHELOR OF COMMERCE
(BANKING & INSURANCE)
(B & I) PROGRAMME
THIRD YEAR
SEMESTER V AND VI

Under Choice Based Credit System,
Grading and Semester System
(To be implemented from
Academic Year 2020-2021)

Board of Studies

The Kelkar Education Trust's
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B. Com (B & I) SEMESTER V		
Course Code	Full Name of Course (with Paper Name)	Credit Point
	Core Courses (CC)	
BIIBF501	International Banking & Finance	4
	Ability Enhancement Course (AEC)	
BIRM502	Research Methodology	4
	Elected Courses (EC)	
BIFRA503	Financial Reporting & Analysis	3
BISM504	Strategic Management	3
BIFSM505	Financial Services Management	3
BIECG506	Business Ethics & Corporate Governance	3

***Note:-**

Content in **RED COLOUR** indicates changes made in Proposed Syllabus after Banking & Insurance's Board of Studies (BOS) Meeting held on 17th August 2020.



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**The Kelkar Education Trust's
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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & Insurance)

Semester V

Course: INTERNATIONAL BANKING AND FINANCE

Course Code	Course Title	Credit
BIIBF501	International Banking and Finance	04



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Syllabus as per **Choice Based Credit System**

I) Name of the Programme	B. Com (Banking & Insurance)
ii) Course Code	BIIBF501
	Semester V
iii) Course Title	International Banking and Finance
iv) Semester wise Course Contents	Copy of the syllabus Enclosed
v) References and additional references	Enclosed in the Syllabus
vi) Credit structure	
No. of Credits per Semester	04
vii) No. of lectures per Unit	12
viii) No. of lectures per week	04
ix) No. of Tutorial per week	---
2 Scheme of Examination	<ul style="list-style-type: none">• Semester End Exam:60 marks (5 Questions of 12 marks each)• Internal Assessment 40 marks:<ul style="list-style-type: none">-Test 15 marks,-Project/ Assignment 15 marks-Class Participation: 10 marks
3 Special notes, if any	No
4 Eligibility, if any	As laid down in the College Admission brochure/website
5 Fee Structure	As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any	No



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Programme: TY B. Com (Banking & Insurance)

Semester: V

Course : International Banking and Finance

Course Code:BIIBF501

Teaching Scheme (Hrs./Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	4	15	15	10	-	-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge about Banking and finance
: Basic interest in current financial situations at global level.

Course Objectives:

- 1 To make the learners familiar with the international financial market
- 2 To introduce basics and fundamentals of foreign exchange market to the students
- 3 To make the student understand about the risk management in the foreign exchange market

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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	FUNDAMENTALS OF INTERNATIONAL FINANCE : <ul style="list-style-type: none"> • Meaning and Scope of International Finance, Balance of Payment, Components, Deficit in Balance of Payment, Concept of Currency Convertibility. • International Monetary System, Gold Standard, Features, Bretton Wood System, Background and Features, Reasons for its Failure, Smithsonian Agreement, SDRs, European Monetary System. • Current Exchange Rate Systems - Fixed and Flexible Exchange Rate, Merits Demerits, Types of Fixed Exchange Rate, Hard Pegs and Soft Pegs, Types of Flexible Exchange Rate, Managed and Free Float. 	12
II	INTERNATIONAL BANKING OPERATIONS : <ul style="list-style-type: none"> • Introduction, Definition, Features of International Banking, Reasons for Growth of International Banking, Recent Trends in International Banking, Emergence of Crypto currency - Overview, Brief Overview of Bit coin and other Crypto Currencies, Note on Mining and Crypto Currency Exchanges, Advantages, Disadvantages of Crypto Currency. • Functions of International Banking, Correspondent Banking, International Payment Systems, NRI accounts, Export Finance, Import Finance, International Merchant Banking, Financing Project Exports, Derivative Offering, Remittances, and Compliance related- Interbank Functions, Internal Functions, Letter of Credit and Bank Guarantees. • International Lending Operation, Loan Syndication, and Parties Involved, Phases Stages in Loan Syndication, Types of Syndication, Role of LIBOR, Risk in International Lending, and Role of International Credit Rating Agencies. 	12
III	FOREIGN EXCHANGE MARKETS <ul style="list-style-type: none"> • Introduction, Market and Market Participants, Foreign Exchange Management in India, Retail and Whole Sale Component of Indian Foreign Exchange Market, Role of FEDAI, FEMA and Regulatory Framework, Dealing Room Operations. • Foreign Exchange Arithmetic, Exchange Rate Quotations, Direct, Indirect and Cross rate, Percentage Spread, Arbitrage, Geographical, Triangular and Interest Rate (formula method only), Calculation of Forward Rates using Schedule of Swap Points, AFM, Determinants of Exchange Rate – Purchasing Power and Interest Rate Parity. (Including Practical Problems), 	12



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IV	<p>RISK MANAGEMENT</p> <p>Risk Management and Derivatives, Transaction, Translation and Economic Risk Faced by Corporate, Transaction, Position, Settlement, Pre-settlement, Gap/Mismatch Risk faced by Banks, Internal and External Hedging, Foreign Currency Derivative Instruments for Risk Management, Forward, Futures, Swaps and Options, Country Risk Management.</p>	12
V	<p>INTERNATIONAL CAPITAL MARKETS</p> <ul style="list-style-type: none"> • Types of Capital Flows, FDI, FPI, FII • Euro Currency Markets, Origin and Reasons of growth, a Brief Understanding of Eurocurrency Deposit, Loans Bonds and Notes Market ,Concept of Offshore Banking. • International Equity Markets, Concept of Depository Receipts, GDR, Characteristics, Mechanism of Issue, Participants Involved, ADR, Types and Characteristics, Concept of IDR. • International Bond Market, Concepts of Domestic Bond, Concept and Types of Foreign Bonds, Concept and Types of Euro Currency Bonds, Concepts of Foreign Currency Convertible and Foreign Currency Exchangeable Bonds, Participatory Notes. • Case Studies about Brexit, G20 & European Monetary System 	12
Total No. of Lectures		60

Beyond the Syllabus

Group Discussions & Book Review.



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Answer Any Two of the Three. (05 Marks each) (Unit based theory questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40



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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: 2 Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Theory Question OR Full Length Theory Question	12
Q.3	Full Length Theory Question OR Full Length Theory Question	12
Q.4	Full Length Theory Question OR Full Length Theory Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60
<p>Note:</p> <p>Practical question of 12 marks may be divided into two sub questions of 6/6.</p>		



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	To make the student to understand the fundamental aspect of international finance.
CO2	To study the international banking operation.
CO3	To make the students aware of the international foreign exchange market operation.
CO4	To make the student to get the knowledge on risk management.
CO5	To make the student to understand about the background of international capital market.

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> • Apte P.G. International Finance – A Business Perspective, New Delhi, TATA McGraw Hill , McGraw Hill Education; 2 edition, July2017. • Bhalla .V.K. international Financial Management- S.Chand Publishing, • International Banking Operations- IIBF- MacMillan Publishers,2007 • International Banking Legal and Regulatory Aspects- IIBF- MacMillan Publishers, 2007.
<u>E-Resources</u>	-
Webliography:	-



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Syllabus Prepared by:

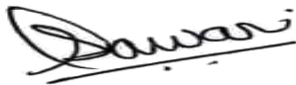
1. Mr. Krishnan S. : Chairperson, Syllabus Committee,
Visiting faculty.



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The Final Syllabus which has been approved by the following BOS Members

Ms. Seema Pawar	:	HOD (Banking & Insurance)
Ms. Anita Yakkali	:	Member – Faculty
Ms. Annu Singh	:	Member – Faculty
Mr. Ambadas Shinge	:	Member – Faculty
Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
Ms. Ashwini Mondkar	:	Member – Visiting Faculty
Ms. Parinaaz Mehta	:	Subject Expert from NMIMS University
Dr. (CA) Seema Tarak Shah	:	Subject Expert from SNDT University
Ms. Devashree M. Ghorpade	:	Vice Chancellor Nominee
Mr. Viral Rami	:	Industry / Corporate Sector
Mr. Suraj Sakpal	:	Meritorious Alumni
Dr. Mrunmayee R. Thatte.	:	Expert from VPM's N.G. Bedekar College, Thane
Ms. Laveleen Kaur Narang	:	Other Member form Staff



**Ms. Seema Pawar
Chairperson
BOS (B & I)
Date – 17th Aug. 2020**



**Ms. Devashree M. Ghorpade
Vice Chancellor Nominee
BOS (B & I)
Date – 17th Aug. 2020**



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Syllabus for TY B. Com (Banking & Insurance)
(June 2020 Onwards)

Program: B. Com (Banking and Insurance)

Semester V

Course: RESEARCH METHODOLOGY

Course Code	Course Title	Credit
BIRM502	Research Methodology	04



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1. Syllabus as per **Choice Based Credit System**

I) Name of the Programme	:	B. Com (Banking and Insurance)
ii) Course Code	:	BIRM502
		Semester V
iii) Course Title	:	Research Methodology
iv) Semester wise Course Contents	:	Copy of the syllabus Enclosed
v) References and additional references	:	Enclosed in the Syllabus
vi) Credit structure		
No. of Credits per Semester	:	04
vii) No. of lectures per Unit	:	10, 15, 15, 15, 05
viii) No. of lectures per week	:	04
ix) No. of Tutorial per week	:	---
		<ul style="list-style-type: none">• Semester End Exam:60 marks (5 Questions of 12 marks each)• Internal Assessment 40 marks: -Test 15 marks, -Project/ Assignment 15 marks -Class Participation: 10 marks
2 Scheme of Examination	:	
3 Special notes, if any	:	No
4 Eligibility, if any	:	As laid down in the College Admission brochure/website
5 Fee Structure	:	As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any	:	No



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Programme : TY B. Com (Banking and Insurance)

Semester: V

Course : Research Methodology

Course Code :BIRM502

Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	4	15	15	10	-	-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge about Research.
: Basic interest in Research.

Course Objectives:

- 1 This course will enable the students to know what is research
- 2 The course will provide decision-making skills in their research work.
- 3 The students of this course will be active learners & develop awareness of Research field.



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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	<p>INTRODUCTION TO RESEARCH</p> <ul style="list-style-type: none"> • Meaning, Objectives and Importance of Research • Types of Research • Research Process. • Characteristics of Good Research • Hypothesis Meaning, Nature, Significance, Types and Sources. • Research Design– Meaning, Definition, Need and Importance, Steps, Scope and Essentials of a Good Research Design. • Sampling– a) Meaning of Sample and Sampling, b)Methods of Sampling <ul style="list-style-type: none"> i) Non-Probability Sampling–Convenient, Judgment, Quota, Snowball ii) Probability– Simple Random, Stratified, Cluster, Multi Stage. 	10
II	<p>DATA COLLECTION AND PROCESSING</p> <ul style="list-style-type: none"> • Types of Data and Sources-Primary and Secondary Data Sources • Methods of Collection of Primary data <ul style="list-style-type: none"> a. Observation- I) structured and unstructured, ii) disguised and undisguised, iii)mechanical observations (use of gadgets) b. Experimental I)Field ii)Laboratory c. Interview – I) Personal Interview ii) focused group, iii) in-depth interviews Method d. Survey– Telephonic survey, Mail, E-mail, Internet survey, Social media, and Media listening. e. Survey instrument– I) Questionnaire designing. <ul style="list-style-type: none"> a. Types of questions–I) structured/close ended and ii) unstructured/ open ended, iii) Dichotomous, IV) Multiple Choice Questions. b. Scaling techniques- I) Likert scale, ii) Semantic Differential scale. 	15
III	<p>DATA ANALYSIS AND INTERPRETATION</p> <ul style="list-style-type: none"> • Processing of Data– Meaning & Essentials of I) Editing ii) Coding iii) Tabulation • Analysis of Data-Meaning, Purpose, Types. • Interpretation of Data-Essentials, Importance, Significance and Descriptive Analysis • Testing of hypothesis– One Sample T- Test, ANOVA, F- test, Chi Square and Paired Sample Test 	15
IV	<p>ADVANCED STATISTICAL TECHNIQUES Introduction, Characteristics and Application of</p>	15



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	<ul style="list-style-type: none">• Correlation and Regression Analysis• Factor Analysis• Cluster Analysis• Discriminate Analysis• Multidimensional Scaling	
V	RESEARCH REPORT Report writing – Meaning, Importance, Structure, Types, Process and Essentials of a Good Report.	05
Total No. of Lectures		60

Beyond the Syllabus

Presentations, Group Discussions & Book Review.



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Answer Any Two of the Three. (05 Marks each) (Unit based theory questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40



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External Assessment

- **Maximum Marks: 60**
- **Questions to be set: 05**
- **Duration: 2 Hrs.**
- **All Questions are Compulsory Carrying 12 Marks each.**

Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Theory Question OR Full Length Theory Question	12
Q.3	Full Length Theory Question OR Full Length Theory Question	12
Q.4	Full Length Theory Question OR Full Length Theory Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60

Note:

Theory question of 12 marks may be divided into two sub questions of 6/6.



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	Demonstrate knowledge of research processes
CO2	Identify, compare, and explain the key elements of research project.
CO3	Make different hypothesis and prove them with research methodology techniques.
CO4	Know importance of research in social sciences.
CO5	Will be able to critically assess research methods pertinent to technology innovation research.

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> • Exploratory and Confirmatory Factor Analysis- Understanding Concepts and Applications(2004) – Bruce Thompson First Edition • Interpreting Economic and Social Data – A Foundation of Descriptive Statistics (2009) - Othmar W. Winkler - Springer • Regression Modelling Strategies (2015) – Frank E Harrell, Jr Springer Series in Statistics. • Research Methodology (2014) – Deepak Chawla and Neena Sondhi, Vikas Publishing House
<u>E-Resources</u>	-
Webliography:	-



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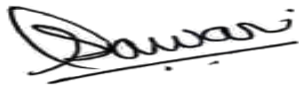
Syllabus Prepared by:
1. Mr. Krishnan S.: Chairperson, Syllabus Committee, Visiting Faculty,
2. Ms. Mayura Ranade: Member, Syllabus Committee, Assistant Professor, Dept. of SFC, V. G. Vaze College, Mulund (East), Mumbai
3. Mr. Aditya Davane: Member, Syllabus Committee Assistant Professor, Dept. of SFC V. G. Vaze College, Mulund (East), Mumbai



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Mr. Ambadas Shinge	:	Member – Faculty
Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
Ms. Ashwini Mondkar	:	Member – Visiting Faculty
Ms. Parinaaz Mehta	:	Subject Expert from NMIMS University
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Ms. Devashree M. Ghorpade	:	Vice Chancellor Nominee
Mr. Viral Rami	:	Industry / Corporate Sector
Mr. Suraj Sakpal	:	Meritorious Alumni
Dr. Mrunmayee R. Thatte.	:	Expert from VPM's N.G. Bedekar College, Thane
Ms. Laveleen Kaur Narang	:	Other Member form Staff



**Ms. Seema Pawar
Chairperson
BOS (B & I)
Date – 17th Aug. 2020**



**Ms. Devashree M. Ghorpade
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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & insurance)

Semester V

**Course: FINANCIAL REPORTING AND ANALYSIS
(CORPORATE BANKING & INSURANCE)**

Course Code	Course Title	Credit
BIFRA503	Financial Reporting and Analysis (Corporate Banking & Insurance)	03



The Kelkar Education Trust's
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1. Syllabus as per **Choice Based Credit System**

i) Name of the Programme : B. Com (Banking and insurance)

ii) Course Code : BIFRA503

Semester V

iii) Course Title : Financial Reporting and Analysis
(Corporate Banking & Insurance)

iv) Semester wise Course Contents : Copy of the syllabus Enclosed

v) References and additional references : Enclosed in the Syllabus

vi) Credit structure

No. of Credits per Semester : 03

vii) No. of lectures per Unit : 16,14,12,12,06

viii) No. of lectures per week : 04

ix) No. of Tutorial per week : ---

- Semester End Exam:60 marks
- (5 Questions of 12 marks each)
- Internal Assessment 40 marks:
 - Test 15 marks,
 - Project/ Assignment 15 marks
 - Class Participation: 10 marks

2 Scheme of Examination

3 Special notes, if any : No

4 Eligibility, if any : As laid down in the College Admission brochure/website

5 Fee Structure : As per College Fee Structure specifications

6. Special Ordinances / Resolutions, if any : No



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Programme: TY B. Com (Banking and insurance)

Semester: V

Course : Financial Reporting and Analysis
 (Corporate Banking & Insurance)

Course Code : BIFRA503

Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	3	15	15	10	-	-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge about accounting concepts.
 : Basic interest in Accountancy.

Course Objectives:

- 1 This course will enable the students to combine practical & theoretical knowledge of financial reporting & accounting.
- 2 The course will provide decision-making skills to the students in the financial analysis context.
- 3 The students of this course will be active learners & develop awareness of emerging trends in banking and insurance sector.



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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	<p>FINAL ACCOUNTS OF BANKING COMPANY</p> <ul style="list-style-type: none"> • Legal Provisions in Banking Regulation Act, 1949 relating to Accounts. Statutory Reserves including Cash Reserve and Statutory Liquidity Ratio. Bills Purchase and Discounted, Rebate on Bill Discounted. • Final Accounts in Prescribed Form. • Non – performing Assets and Income from Non – performing Assets. • Classification of Advances: Standard, Sub – standard, Doubtful and Provisioning Requirement. 	16
II	<p>FINAL ACCOUNTS OF INSURANCE COMPANY</p> <ul style="list-style-type: none"> • Preparation and Presentation of Corporate Final Accounts for Insurance Companies. • Final Accounts in accordance with Insurance Legislation • Study of Accounting Policies from Annual Reports of Listed Insurance Companies 	14
III	<p>PREPARATION OF FINAL ACCOUNTS OF COMPANIES</p> <ul style="list-style-type: none"> • Relevant Provisions of Companies Act related to Preparation of Final Account (excluding cash flow statement) • Preparation of Financial Statements as per Companies Act. (excluding cash flow statement) • AS 1 in Relation to Final Accounts of Companies (Disclosure of Accounting Policies) • Adjustment for – <ol style="list-style-type: none"> 1. Closing Stock 2. Depreciation 3. Outstanding expenses and income 4. Prepaid expenses and Pre received income 5. Proposed Dividend and Unclaimed Dividend 6. Provision for Tax and Advance Tax 7. Bill of exchange (Endorsement, Honour, Dishonour) 8. Capital Expenditure included in Revenue expenditure and vice versa e.g.- purchase of furniture included in purchases 9. Unrecorded Sales and Purchases 10. Good sold on sale or returnbasis 11. Managerial remuneration on Net Profit beforetax 12. Transfer to Reserves 13. Bad debt and Provision for bad debts 14. Calls in Arrears 15. Loss by fire (Partly and fully insured goods) 16. Goods distributed as free samples. <ul style="list-style-type: none"> • Any other adjustments as per the prevailing accounting standard. 	12



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IV	<p>CASH FLOW ANALYSIS & ETHICAL BEHAVIOR AND IMPLICATIONS FOR ACCOUNTANTS Cash Flow Analysis as per AS 3 (Indirect Method Only) Ethical Behavior and Implications for Accountants</p> <ul style="list-style-type: none"> • Introduction, Meaning of Ethical Behavior • Financial Reports – Link between Law, Corporate Governance, Corporate Social Responsibility and Ethics. • Importance and Relevance of Ethical Behavior in Accounting Profession. Implications of Ethical Values for the Principles Versus Rule Based Approaches to Accounting Standards • The Principal Based Approach and Ethics • The Accounting Standard Setting Process and Ethics The IFAC Code of Ethics for Professional Accountants Contents of Research Report in Ethical Practices Implications of Unethical Behavior on Financial Reports Company Codes of Ethics • The increasing role of Whistle – Blowing Need to learn ethics. 	12
V	<p>INTRODUCTION TO IFRS</p> <ul style="list-style-type: none"> • IFRS 1- First Time Adoption of International Financial Reporting Standards Objective, Scope, Definitions, First IFRS Financial Statements, Recognition and Measurement, Comparative Information, Explanation of Transition to IFRS, Reconciliations, Interim Financial Reports, Designation of Financial Assets or Financial Liabilities, Use of Fair Value as Deemed Cost, Use of Deemed Cost, Exceptions to Retrospective Application of other IFRS, Exemptions for Business Combination, Exemptions from other IFRS and Presentation and Disclosure. • IFRS 2- Share Based Payment – Objective, Scope, Definitions, Recognition, Equity Settled Share Based Payment Transactions, Transactions in Which Services are Received, Treatment of Vesting Conditions, Expected Vesting Period, Determining the Fair Value of Equity Instruments granted, Modifications of terms and conditions, Cancellation, Cash Settled Share Based Payment Transactions, Share Based Payment Transactions in Which The Terms of The Arrangement Provide The Counterparty With A Choice of Settlement, Share Based Payment Transactions in which the Terms of the Arrangement Provide the Entity with a Choice of Settlement, Share Based Payment Transactions Among Group Entities (2009 Amendments) Disclosure. 	06
Total No. of Lectures		60

Beyond the Syllabus

Group Discussions & Book Review.



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Solve Any One of the Two. (10 Marks each) (Unit based practical questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40

The Kelkar Education Trust's
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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: two Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Practical Question OR Full Length Practical Question	12
Q.3	Full Length Practical Question OR Full Length Practical Question	12
Q.4	Full Length Practical Question OR Full Length Practical Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60
<p>Note:</p> <p>Practical question of 12 marks may be divided into two sub questions of 6/6.</p>		



The Kelkar Education Trust's
V. G. Vaze College of Arts, Science and Commerce
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Course Outcomes:	
	After the completion of the course, students will able to
CO1	Know about the Accounting of banks and calculations of NPA.
CO2	Know about the Accounting of insurance companies.
CO3	Understand the provisions of companies Act and final accounts of companies.
CO4	Understand the concepts of Cash flow analysis.
CO5	Know about the Accounting Standards & IFRS

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> • Ashish K. Bhattacharyya – “Financial Accounting for Business Managers”, Prentice Hall of India Pvt.Ltd. • Shashi K. Gupta – “Contemporary Issues in Accounting”, Kalyani Publishers. • R. Narayanaswamy – “Financial Accounting”, Prentice Hall of India, New Delhi • Ashok Sehgal – “Fundamentals of Financial Accounting”, Taxmann’s Publishers IFRS – Dr Ram Mohan Bhave and Dr Anjali Bhave
<u>E-Resources</u>	-
Webliography:	-



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Syllabus Prepared by:

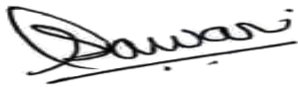
- | |
|---|
| 1. Ms. Laveleen Kaur Narang: Chairperson, Syllabus Committee
Assistant Professor,
Dept. of SFC
V. G. Vaze College, Mulund (East), Mumbai |
| 2. Ms. Niti Shirke: Member, Syllabus Committee
Assistant Professor
Dept. of SFC
V. G. Vaze College ,Mulund (East), Mumbai |
| 3. Ms. Anita Yakkali: Member, Syllabus Committee
Assistant Professor,
Dept. of SFC
V. G. Vaze College , Mulund (East), Mumbai |



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The Final Syllabus which has been approved by the following BOS Members

Ms. Seema Pawar	:	HOD (Banking & Insurance)
Ms. Anita Yakkali	:	Member – Faculty
Ms. Annu Singh	:	Member – Faculty
Mr. Ambadas Shinge	:	Member – Faculty
Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
Ms. Ashwini Mondkar	:	Member – Visiting Faculty
Ms. Parinaaz Mehta	:	Subject Expert from NMIMS University
Dr. (CA) Seema Tarak Shah	:	Subject Expert from SNDT University
Ms. Devashree M. Ghorpade	:	Vice Chancellor Nominee
Mr. Viral Rami	:	Industry / Corporate Sector
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Dr. Mrunmayee R. Thatte.	:	Expert from VPM's N.G. Bedekar College, Thane
Ms. Laveleen Kaur Narang	:	Other Member form Staff



**Ms. Seema Pawar
Chairperson
BOS (B & I)
Date – 17th Aug. 2020**



**Ms. Devashree M. Ghorpade
Vice Chancellor Nominee
BOS (B & I)
Date – 17th Aug. 2020**



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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & Insurance)

Semester - V

Course: STRATEGIC MANAGEMENT

Course Code	Course Title	Credit
BIFSM504	Strategic Management	03



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1. Syllabus as per **Choice Based Credit System**

- I) Name of the Programme : B. Com (Banking & Insurance)
- ii) Course Code : BIFSM504
Semester V
- iii) Course Title : Strategic Management
- iv) Semester wise Course Contents : Copy of the syllabus Enclosed
- v) References and additional references : Enclosed in the Syllabus
- vi) Credit structure
- No. of Credits per Semester : 03
- vii) No. of lectures per Unit : 15,10,10,15,10
- viii) No. of lectures per week : 04
- ix) No. of Tutorial per week : ---
- Semester End Exam:60 marks
(5 Questions of 12 marks each)
 - Internal Assessment 40 marks:
 - Test 15 marks,
 - Project/ Assignment 15 marks
 - Class Participation: 10 marks
- 2 Scheme of Examination :
- 3 Special notes, if any : No
- 4 Eligibility, if any : As laid down in the College Admission brochure/website
- 5 Fee Structure : As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any : No



The Kelkar Education Trust's
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Programme: TY B. Com (Banking & Insurance)

Semester: V

Course : Strategic Management

Course Code : BIFSM504

Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	3	15	15	10		-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge about Principles of Management.
: Knowledge of business environment and its effect on business strategy.
: Knowledge of control mechanism.

Course Objectives:

1	To make learner understand basic meaning of strategy, its process, principles of good strategy.
2	To make learner aware of business environment and its effect on business strategy.
3	Understand environmental scanning for achieving business objectives.
4	Understanding implementation process of strategy and common barriers that come in way of implementation.
5	To understand different gaps in strategic analysis and evaluation.



The Kelkar Education Trust's
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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	STRATEGIC MANAGEMENT AN OVERLOOK: Definitions, Strategic Decision Making, Levels of Strategic Management, Process of Strategic Management, Principles of Good Strategy, Elements of Strategic Management, Models of Strategic Management.	15
II	STRATEGIC MANAGEMENT ENVIRONMENT: Importance of Politics in Strategic Management, Social, Political, and Technological forces, Role of Competition, National and Global Business Environment. Components of Environment, Environmental Scanning, Analysis of Strategies and Choice of Strategy. Ethics, Social Responsibility, Impact of Legal Factors in Strategic Management, SWOT Analysis.	10
III	LEVEL OF STRATEGIES AND ANALYSIS: <ul style="list-style-type: none"> • Corporate Level Strategies- Concentration, Integration and Diversification, Internationalization, Digitization. • Process of Strategic Choice, Factors of Strategic Choice, Strategic Analysis. 	10
IV	ACTIVATING STRATEGIES AND IMPLEMENTATION: <ul style="list-style-type: none"> • Process and Nature of Strategy implementation, Barriers, Model of Strategy • Implementation- Structural, Behavioral and Functional. 	15
V	STRATEGIC EVALUATION AND CONTROL: <ul style="list-style-type: none"> • Standards, Benchmarking, Gap Analysis. • Features and Importance of Evaluation, Barriers in Evaluation, Types. • Strategic Control- Setting Standards, Comparison, Control Process, Systems, Approaches, Techniques of Evaluation and Control. • Role of Information System. 	10
Total No. of Lectures		60

Beyond the Syllabus

Group Discussions & Book Review.



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Answer Any Two of the Three. (05 Marks each) (Unit based theory questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40



The Kelkar Education Trust's
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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: 2 Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Theory Question OR Full Length Theory Question	12
Q.3	Full Length Theory Question OR Full Length Theory Question	12
Q.4	Full Length Theory Question OR Full Length Theory Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60
<p>Note:</p> <p>Theory question of 12 marks may be divided into two sub questions of 6/6.</p>		



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	The learner will understand business strategy and elements, models of strategic management
CO2	To make student aware of business environment and application of SWOT analysis in every business.
CO3	To make learner understand meaning of integration diversification internationalization and digitalization of business
CO4	To make student understand concept of benchmarking, gap analysis strategic control and evaluation of strategy.
CO5	Standards, Benchmarking, Gap Analysis, Strategic Control and role of information system.

Recommended Resources	
Reference Books	<ul style="list-style-type: none"> ● Strategic Management, 12th Ed. Concepts and Cases, Arthur A. Jr. and A.J. Strickland ● Management Policy and Strategic Management (Concepts, Skills a ● Business Policy and Strategic Management – P. Subba Rao ● Strategic Planning Formulation of Corporate Strategy , Ramaswamy
<u>E-Resources</u> Webliography:	
MOOCS	



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Syllabus Prepared by:

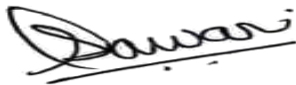
1. Ms. Seema Pawar: Chairperson, Syllabus Committee
HOD - Dept. of SFC,
Assistant Professor,
V. G. Vaze College ,Mulund (East), Mumbai
2. Ms. Khursheed Shaikh : Member, Syllabus Committee
Assistant Professor,
Dept. of SFC,
V. G. Vaze College, Mulund (East), Mumbai
3. Ms. Arati Shah : Member, Syllabus Committee
Assistant Professor,
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Ms. Sunanda Pandita	:	Member – Faculty
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Mr. Viral Rami	:	Industry / Corporate Sector
Mr. Suraj Sakpal	:	Meritorious Alumni
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**Ms. Seema Pawar
Chairperson
BOS (B & I)
Date – 17th Aug. 2020**



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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & Insurance)

Semester - V

Course: FINANCIAL SERVICE MANAGEMENT

Course Code	Course Title	Credit
BIFSM505	Financial Service Management	03



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1. Syllabus as per **Choice Based Credit System**

I) Name of the Programme	:	B. Com (Banking & Insurance)
ii) Course Code	:	BIFSM505 Semester V
iii) Course Title	:	Financial Service Management
iv) Semester wise Course Contents	:	Copy of the syllabus Enclosed
v) References and additional references	:	Enclosed in the Syllabus
vi) Credit structure		
No. of Credits per Semester	:	03
vii) No. of lectures per Unit	:	12
viii) No. of lectures per week	:	04
ix) No. of Tutorial per week	:	---
		<ul style="list-style-type: none">• Semester End Exam:60 marks (5 Questions of 12 marks each)• Internal Assessment 40 marks:<ul style="list-style-type: none">-Test 15 marks,-Project/ Assignment 15 marks-Class Participation: 10 marks
2 Scheme of Examination	:	
3 Special notes, if any	:	No
4 Eligibility, if any	:	As laid down in the College Admission brochure/website
5 Fee Structure	:	As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any	:	No



The Kelkar Education Trust's
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Programme: TY B. Com (Banking & Insurance)

Semester: V

Course : Financial Service Management

Course Code : BIFSM505

Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	3	15	15	10		-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge about Financial Services.
: Basic interest in Service Industry.

Course Objectives:

- 1 Understand financial service industry.
- 2 Get knowledge about various activities of the financial market and its benefit to the government and society.
- 3 Understand how to promote domestic and foreign trade.



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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	INTRODUCTION TO FINANCIAL SERVICES <ul style="list-style-type: none"> • Financial Services • Meaning, Classification, Scope, Fund Based Activities, Non Fund Based Activities, Modern Activities, Sources of Revenue, Need for Financial Innovation, New Financial Products & Services, Innovative Financial Instruments, Challenges Facing the Financial Sector. • Merchant Banking • Definition, Origin, Merchant Banking in India, Merchant Banks and Commercial Banks, Services of Merchant Banks, Qualities of Merchant Bankers in Market Making Process, Progress of Merchant Banking in India, Problems, Scope of • Merchant Banking in India. 	12
II	MUTUAL FUNDS, FACTORING AND FORFEITING <ul style="list-style-type: none"> • Stock Breaking • Mutual Funds • Introduction to Mutual Funds, Structure of Mutual Fund in India, Classification of Mutual Funds, AMFI Objectives, Advantages of Mutual Funds, Disadvantages of Mutual Funds, NAV Calculation and Pricing of Mutual Funds, Mutual Funds Abroad, Mutual Funds in India, Reasons for Slow growth, Future of Mutual Funds Industry. • Factoring and Forfeiting • Factoring, Meaning, Modus Operandi, Terms and Conditions, Functions, Types of Factoring, Factoring vs. Discounting, Cost of factoring, Benefits, Factoring in India, International Factoring, Definition, Types of Export Factoring, Factoring in Other Countries, EDI Factoring, Forfeiting- Definition, Factoring vs. Forfeiting, Working Of Forfeiting, Cost of Forfeiting, Benefits of Forfeiting, Drawbacks of Forfeiting. 	12
III	SECURITIZATION OF DEBTS AND DERIVATIVES <ul style="list-style-type: none"> • Securitization of Debt • Meaning & Definition of Securitization, Securitization vs. Factoring, Modus Operandi, Role of Merchant Banker, Role of Other Parties, Securitization Structure Securities able assets, Benefits of Securitization, Conditions for Successful Securitization, Securitization Abroad, Securitization in India, Reasons for non-popularity of Securitization, Future Prospects of Securitization. • Derivatives • Meaning, Types of Financial Derivatives, Options, Futures, Forwards, Swaps, Futures & Options Trading System, Clearing Entities & Their Role. 	12



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IV	<p>HOUSING FINANCE AND CONSUMER FINANCE</p> <ul style="list-style-type: none"> • Housing Finance • Introduction, Housing Finance Industry, Housing Finance Policy Aspect, Sources of Funds, Market of Housing Finance in India, Major Issues of Housing Finance in India, Growth Factors, Housing Finance Institutions in India, National Housing Bank(NHB), Guidelines for ALM System in Housing Finance Companies, Fair Trade Practice, Code for HFC's, Housing Finance Agencies. • Consumer Finance • Introduction, Sources, Types of Products, Consumer Finance Practice in India, • Mechanics of Consumer Finance, Terms, Pricing, Marketing & Insurance of Consumer Finance, Consumer Credit Scoring. 	12
V	<p>DEPOSITORIES & PLEDGE</p> <ul style="list-style-type: none"> • Overview of Depository, Key features of Depositories Systems in India, Depository- Bank Analogy, Legal Framework, Eligibility Criteria for A Depository, Agreement between Depository & Issuers, Rights & Obligation of Depositories, Records Maintained by Depository, Services of Depository & Functions of Depository, Organization & Functions of NSDL, Pledge & Hypothecation, Procedure for Pledge/Hypothecation, Procedure of Confirmation of Creation of Pledge/Hypothecations by Pledgee, Closure of A Pledge/Hypothecation by Pledger, Invocation of Pledge by Pledgee. 	12
Total No. of Lectures		60

Beyond the Syllabus

Group Discussions & Book Review.



The Kelkar Education Trust's
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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Answer Any Two of the Three. (05 Marks each) (Unit based theory questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40



The Kelkar Education Trust's
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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: 2 Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Theory Question OR Full Length Theory Question	12
Q.3	Full Length Theory Question OR Full Length Theory Question	12
Q.4	Full Length Theory Question OR Full Length Theory Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60
<p>Note:</p> <p>Theory question of 12 marks may be divided into two sub questions of 6/6.</p>		



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	Know Introduction of various fund and fee based financial services for e.g. factoring, forfeiting, lease, hire purchase etc.
CO2	Gain detailed knowledge about mutual fund, factoring and forfeiting.
CO3	Understand Securitization of Debt and Derivatives.
CO4	Learn Housing Finance and Consumer Finance.
CO5	Learn Depositories, its functioning and pledge.

Recommended Resources	
Reference Books	<ul style="list-style-type: none"> Financial Services, Dr. S Gurusamy, The MgrawHill companies, 2 edition (26 June 2009). Financial Markets and Financial services, Vasant Desai, Himalaya Publishing House, First Edition (2010). Financial Services, M.Y.Khan, Tata Mc-Graw Hill Publishing Company Ltd, Ninth edition (2017). Financial Markets and Services –E.Gordon and K.Natanrajan,Himalaya Publishing House, Tenth Edition edition (2016)
<u>E-Resources</u> Webliography:	
MOOCS	



The Kelkar Education Trust's
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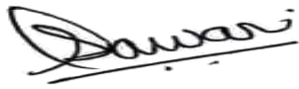
Syllabus Prepared by:

1. Ms. Laveleen Kaur Narang: Chairperson, Syllabus Committee
Assistant Professor,
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**The Kelkar Education Trust's
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(Autonomous)**

Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking and Insurance)

Semester V

Course: BUSINESS ETHICS AND CORPORATE GOVERNANCE

Course Code	Course Title	Credit
BIECG506	Business Ethics and Corporate Governance	03



The Kelkar Education Trust's
V. G. Vaze College of Arts, Science and Commerce
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Syllabus as per **Choice Based Credit System**

I) Name of the Programme	:	B. Com (Banking and Insurance)
ii) Course Code	:	BIECG506
		Semester V
iii) Course Title	:	Business Ethics and Corporate Governance
iv) Semester wise Course Contents	:	Copy of the syllabus Enclosed
v) References and additional references	:	Enclosed in the Syllabus
vi) Credit structure		
No. of Credits per Semester	:	03
vii) No. of lectures per Unit	:	10, 10, 15, 15, 10
viii) No. of lectures per week	:	04
ix) No. of Tutorial per week	:	---
		<ul style="list-style-type: none">• Semester End Exam:60 marks (5 Questions of 12 marks each)• Internal Assessment 40 marks:<ul style="list-style-type: none">-Test 15 marks,-Project/ Assignment 15 marks-Class Participation: 10 marks
2 Scheme of Examination	:	
3 Special notes, if any	:	No
4 Eligibility, if any	:	As laid down in the College Admission brochure/website
5 Fee Structure	:	As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any	:	No



The Kelkar Education Trust's
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(Autonomous)

Programme: TY B. Com (Banking & Insurance)

Semester: V

Course : Business Ethics and Corporate Governance

Course Code : BIECG506

Teaching Scheme (Hrs./Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	3	15	15	10	-	-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge of corporate structure.

: Basic knowledge of banking and insurance industry.

Course Objectives:

- 1 To introduce the subject matter of business ethics and corporate governance.
- 2 To develop a better understanding of corporate governance and its importance from Indian perspective.
- 3 To make the learner to appreciate the current trends in corporate governance not only in India but also abroad.



The Kelkar Education Trust's
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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	<p>INTRODUCTION TO BUSINESS ETHICS:</p> <ul style="list-style-type: none"> • Definition, Meaning, Nature of Ethics, Meaning of Moral & Ethics. • Business Ethics -Meaning and Nature. Importance of Ethics in Business, Areas of Business Ethics, Meaning of Functional Ethics, Types of Ethics According to Functions of Business-Marketing Ethics, Foreign Trade Ethics and Ethics Relating to Copyright. • Relevant Case Studies 	10
II	<p>APPLICATION OF ETHICAL THEORIES IN BUSINESS:</p> <ul style="list-style-type: none"> • Ethical Decision Making: Decision Making (Normal Dilemmas And Problems): • Utilitarianism (J. Bentham and J.S. Mill), (li) Deontology (I. Kant) Virtue Ethics (Aristotle). Gandhain Approach in Management and Trusteeship, Importance and Relevance of Trusteeship Principle in Modern Business. Ethical Issues in Functional Areas of Business. • Ethics in Advertising (Truth in Advertising). Ethical Issues in Finance • Relevant Case Studies 	10
III	<p>INTRODUCTION TO CORPORATE GOVERNANCE:</p> <ul style="list-style-type: none"> • Definition & Conceptual Framework of Corporate Governance, Business Ethics - an important dimension to Corporate Governance, Fair and Unfair Business Practices. Theoretical Basis of Corporate Governance, Mechanism- Corporate Governance Systems, Indian Model of Governance, Good Corporate Governance, Obligations Towards Society and Stake holders. Theories underlying Corporate Governance (Stakeholder's theory and Stewardship theory, Agency theory, Separation of Ownership and Control, Corporate Governance Mechanism: Process, Indian Model, OECD, and Emphasis on Corporate Governance (Transparency Accountability and Empowerment). • Relevant Case Studies 	15
IV	<p>GENESIS AND IMPLEMENTATION OF CORPORATE GOVERNANCE IN INDIA:</p> <ul style="list-style-type: none"> • Introduction principles – Arthashastra and Good Governance in ancient India, Protection of Interest of Customer and Investors, Historical perspective of Corporate Governance and Issues in Corporate Governance. • Values: Meaning, Types Teaching from Scriptures like Gita, Quran, Bible Value Systems in Business. • Implementation of Corporate Governance • Role of Board of Directors and Board Structure, Role of the Non- executive Director, Role of Auditors, SEBI Growth of 	15



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	<p>Corporate Governance. Role of Government, Corporate Governance in India. Accounting Standards and Accounting disclosures. Finance Reporting and Corporate Governance, Non Accounting Regulations in Corporate Governance, Corporate Governance & CSR, Family Owned Business - Background, Family Businesses in India, Need for</p> <ul style="list-style-type: none"> • Professionalization and Transparency in Family Business. • Relevant Case Studies 	
V	<p>GLOBAL SCENARIO</p> <ul style="list-style-type: none"> • Business Ethics in Global Economy. • Ethics in the Context of Global Economy, Relationship Between Business • Ethics & Business Development, Role of Business Ethics in Building a Civilized Society. • Corporate Governance and Issues Related to Scams • Corruption: Meaning, Causes, Effects. • Frauds and Scams in Banks, Insurance Companies, Financial Institutions, Measures to Overcome Fraud and Corruption, Zero Tolerance of Corruption. • Relevant Case Studies 	10
Total No. of Lectures		60

Beyond the Syllabus

Presentations, Group Discussions & Book Review.



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Answer Any Two of the Three. (05 Marks each) (Unit based theory questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40

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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: 2 Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Theory Question OR Full Length Theory Question	12
Q.3	Full Length Theory Question OR Full Length Theory Question	12
Q.4	Full Length Theory Question OR Full Length Theory Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
TOTAL MARKS		60
<p>Note:</p> <p>Theory question of 12 marks may be divided into two sub questions of 6/6.</p>		



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	To understand the basic concepts of business ethics and its applications in business.
CO2	Introduction of ethical theories and application of those theories in business.
CO3	Provide knowledge about the corporate governance from its basics.
CO4	Development of corporate governance and the Indian scenario.
CO5	Corporate governance and the development of it in the global scenario.

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> • A. C. Fernando, Corporate Governance Principles, Policies and Practices; Pearson • Marc Goeren, International Corporate Governance; Blackwells. • Cristian A. Mallin, Corporate Governance. • Business Ethics, Crane & amp; Matten • The Management and ethics omnibus, Chakraborty, Its only Business, Mitra, • Values and Ethics for Organizations, Chakraborty, OUP/OIP • Perspectives in Business Ethics, Hartman, Chatterjee
<u>E-Resources</u>	-
Webliography:	-



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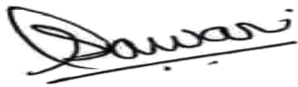
Syllabus Prepared by:	
1.	Mr. Krishnan S. : Chairperson, Syllabus Committee, Visiting Faculty.
2.	Ms. Mayura Ranade: Member, Syllabus Committee, Assistant Professor, Dept. of SFC, V. G. Vaze College, Mulund (East), Mumbai
3.	Mr. Aditya Davane: Member, Syllabus Committee, Assistant Professor, Dept. of SFC, V. G. Vaze College, Mulund (East), Mumbai



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The Final Syllabus which has been approved by the following BOS Members

Ms. Seema Pawar	:	HOD (Banking & Insurance)
Ms. Anita Yakkali	:	Member – Faculty
Ms. Annu Singh	:	Member – Faculty
Mr. Ambadas Shinge	:	Member – Faculty
Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
Ms. Ashwini Mondkar	:	Member – Visiting Faculty
Ms. Parinaaz Mehta	:	Subject Expert from NMIMS University
Dr. (CA) Seema Tarak Shah	:	Subject Expert from SNDT University
Ms. Devashree M. Ghorpade	:	Vice Chancellor Nominee
Mr. Viral Rami	:	Industry / Corporate Sector
Mr. Suraj Sakpal	:	Meritorious Alumni
Dr. Mrunmayee R. Thatte.	:	Expert from VPM's N.G. Bedekar College, Thane
Ms. Laveleen Kaur Narang	:	Other Member form Staff



Ms. Seema Pawar
Chairperson
BOS (B & I)
Date – 17th Aug. 2020



Ms. Devashree M. Ghorpade
Vice Chancellor Nominee
BOS (B & I)
Date – 17th Aug. 2020



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B. Com (B & I) SEMESTER VI		
Course Code	Full Name of Course (with Paper Name)	Credit Point
	Core Courses (CC)	
BICB601	Central Banking	4
	Ability Enhancement Course (AEC)	
BIPW602	Project Work	4
	Elected Courses (EC)	
BISPM603	Security Analysis and Portfolio Management	3
BIHRM604	Human Resource Management in Banking & Insurance	3
BITMG605	Turnaround Management	3
BIIB606	International Business	3

***Note:-**

Content in **RED COLOUR** indicates changes made in Proposed Syllabus after Banking & Insurance's Board of Studies (BOS) Meeting held on 17th August 2020.



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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & Insurance)

Semester VI

Course: CENTRALBANKING

Course Code	Course Title	Credit
BICB601	Central Banking	04



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1. Syllabus as per **Choice Based Credit System**

- I) Name of the Programme : B. Com (Banking & Insurance)
- ii) Course Code : BICB601
Semester VI
- iii) Course Title : Central Banking
- iv) Semester wise Course Contents : Copy of the syllabus Enclosed
- v) References and additional references: Enclosed in the Syllabus
- vi) Credit structure
- No. of Credits per Semester : 04
- vii) No. of lectures per Unit : 12
- viii) No. of lectures per week : 04
- ix) No. of Tutorial per week : ---
- 2 Scheme of Examination :
- Semester End Exam:60 marks
(5 Questions of 12 marks each)
 - Internal Assessment 40 marks:
 - Test 15 marks,
 - Project/ Assignment 15 marks
 - Class Participation: 10 marks
- 3 Special notes, if any : No
- 4 Eligibility, if any : As laid down in the College Admission brochure/website
- 5 Fee Structure : As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any : No



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Programme: TY B. Com (Banking & Insurance)

Semester: VI

Course: Central Banking.

Course Code : BICB601

Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	4	15	15	10	-	-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge about Reserve Banking.

: Basic interest in current financial situations at global level.

Course Objectives:

- 1** To make the learner familiar with the introduction part of the central banking in India and abroad.
- 2** To develop a better understanding about structure and working of the Indian and overseas Central Banks.
- 3** To provide the knowledge on the central bank and its management of the cyber world and its difficulties.



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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	AN OVERVIEW OF CENTRAL BANKING : <ul style="list-style-type: none"> • Overview: Concept of Central Banking, Institutional Growth of Central Banking, the Changing Face of Central Banking. • Role of Central Banks: Determination of Goals, Inflation Targeting, Exchange Rate Targeting, Money Supply Targeting, Money Growth Targeting, Viable Alternatives to Central Bank, Central Banking in India. • Contemporary Issues, Autonomy and Independence, Credibility, Accountability and Transparency of a Central Bank. 	12
II	RBI AS THE CENTRAL BANK OF INDIA : <ul style="list-style-type: none"> • Policy Framework for RBI: Organizational Framework, Operational Framework – Role as a Central Banker, Promotional Role of RBI, Regulatory Role of RBI. • RBI and Monetary Policy • Macroeconomic Policies: Meaning & Objectives. Monetary Policy- Meaning& Objectives • Monetary Policy in India - Goals, Targets and Instruments • A Brief Overview of Fiscal Policy, Striking Balance between Inflation and Growth through Monetary and Fiscal Policies 	12
III	SUPERVISORY ROLE OF RBI <ul style="list-style-type: none"> • Regulation and Supervision: Need for Regulation and Supervision, Banking Regulation Act, 1949, Banking Regulation and Supervision, Functions of the Department of Supervisory, Regulations Review Authority, and Unified Regulator v/s Multiple Regulators. • RBI – On-site Inspection and Off-site Monitoring and Surveillance: The Core Principles for Effective Supervision – On-site Examination – Off-site Surveillance <ul style="list-style-type: none"> – On-site Inspection and Off-site Monitoring in India – – Off-site Monitoring in Different Countries – – Computerized Off-site Monitoring and Surveillance (OSMOS). • RBI and Financial System, Introduction, Functions, Characteristics of Financial • System, Role of RBI in Regulating Financial System and Financial Sector Reforms 	12
IV	CENTRAL BANK IN OTHER COUNTRIES <ul style="list-style-type: none"> • Federal Reserve System – Bank of England – The European Central Banking, Bank of Japan, Peoples Bank of China • Interconnectivity of Central Banks with Other International Financial • Institutions, ADB, IMF, World Bank, and BIS,(Objectives, Role and Functions) 	12



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V	CENTRAL BANKING IN CYBER WORLD: <ul style="list-style-type: none">• E -Banking, E- money, IT induced Changes and Monetary Policy, E- payments,• Risks in the New IT Era, Impact of IT, Globalization and Central Banks.	12
Total No. of Lectures		60

Beyond the Syllabus

Group Discussions & Book Review.



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Answer Any Two of the Three. (05 Marks each) (Unit based theory questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40



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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: 2 Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Theory Question OR Full Length Theory Question	12
Q.3	Full Length Theory Question OR Full Length Theory Question	12
Q.4	Full Length Theory Question OR Full Length Theory Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60
<p>Note:</p> <p>Practical question of 12 marks may be divided into two sub questions of 6/6.</p>		



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	To help student to understand the overview of Central banking in India and abroad.
CO2	Make the student to understand RBI as Central Bank of India and its various perspectives.
CO3	Enable the students to appreciate the supervisory role of RBI.
CO4	Provide knowledge about the other countries Central bank under structural few functions.
CO5	Enable the student to understand the Central Bank in the present cyber world.

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> Central Banking- IIBF- MacMillan Publishers,2011 Central Banking – ICAI Press,2008 Theory and Practice of Central Banking Central Banking- M H deKock, Publisher Staples Press. Central Banking in Planned Economy- The Indian Experiment- C.R.Basu, Edition2, Publisher Tata McGraw-Hill Publishing. Co, 1977.
<u>E-Resources</u> Webliography:	- -



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Syllabus Prepared by:

1. Mr. Krishnan S.: Chairperson, Syllabus Committee
Visiting Faculty.

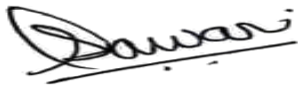
2. Ms. Mayura Ranade: Member, Syllabus Committee
Assistant Professor,
Dept. of SFC,
V. G. Vaze College ,Mulund (East), Mumbai



**The Kelkar Education Trust's
V. G. Vaze College of Arts, Science and Commerce
(Autonomous)**

The Final Syllabus which has been approved by the following BOS Members

Ms. Seema Pawar	:	HOD (Banking & Insurance)
Ms. Anita Yakkali	:	Member – Faculty
Ms. Annu Singh	:	Member – Faculty
Mr. Ambadas Shinge	:	Member – Faculty
Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
Ms. Ashwini Mondkar	:	Member – Visiting Faculty
Ms. Parinaaz Mehta	:	Subject Expert from NMIMS University
Dr. (CA) Seema Tarak Shah	:	Subject Expert from SNDT University
Ms. Devashree M. Ghorpade	:	Vice Chancellor Nominee
Mr. Viral Rami	:	Industry / Corporate Sector
Mr. Suraj Sakpal	:	Meritorious Alumni
Dr. Mrunmayee R. Thatte.	:	Expert from VPM's N.G. Bedekar College, Thane
Ms. Laveleen Kaur Narang	:	Other Member form Staff



**Ms. Seema Pawar
Chairperson
BOS (B & I)
Date – 17th Aug. 2020**



**Ms. Devashree M. Ghorpade
Vice Chancellor Nominee
BOS (B & I)
Date – 17th Aug. 2020**



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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: Semester VI

Course: PROJECT WORK

Course Code	Course Title	Credit
BIPW602	Project Work	04



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1. Syllabus as per **Choice Based Credit System**

- i) Name of the Programme : B. Com (Banking and Insurance)
- ii) Course Code : BIPW602
Semester VI
- iii) Course Title : Project Work
- iv) Semester wise Course Contents : Copy of the project guidelines Enclosed
- v) References and additional references : Enclosed in the Syllabus
- vi) Credit structure
No. of Credits per Semester : 04
- vii) No. of lectures per Unit : ---
- viii) No. of lectures per week : 04
- ix) No. of Tutorial per week : ---
- 2 Scheme of Examination :
 - External Assessment 60 marks
 - Internal Assessment 40 marks
- 3 Special notes, if any : No
- 4 Eligibility, if any : As laid down in the College Admission brochure/website
- 5 Fee Structure : As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any : No



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Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	4	15	15	10	-	-	60	
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge of research related concepts.
: Basic interest in research.

Course Objectives:

- 1 The main objective of inclusion of project work is to inculcate the element of research analysis and scientific temperament challenging the potential of learner as regards to his/ her eagerness to enquire and ability to interpret particular aspect of the study
- 2 Create awareness among the learners about the methodology of formulation & preparation of the project work.
- 3 To inform action, to prove a theory, and contribute to developing knowledge in a field or study

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Guidelines for preparation of Project Work

1. General guidelines for preparation of project work based on

Research Methodology

- ❖ The project topic may be undertaken in any area of Elective Courses.
- ❖ Each of the learners has to undertake a Project individually under the supervision of a teacher-guide.
- ❖ The learner shall decide the topic and title, which should be specific, clear and with definite scope in consultation with the teacher-guide concerned.
- ❖ University/college shall allot a guiding teacher for guidance to the students based on her / his specialization.
- ❖ The project report shall be prepared as per the broad guidelines given below:
 - Font type: Times New Roman
 - Font size: 12-For content, 14-forTitle
 - Line Space : 1.5-for content and 1-for in table work
 - Paper Size:A4
 - Margin : in Left-1.5,Up-Down-Right-1
 - The Project Report shall be bounded.
 - The project report should be 80 to 100pages



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Format

1st page (Main Page)

Title of the problem of the Project

**A Project Submitted to
University of Mumbai for partial
completion of the Degree of Bachelor in
Commerce (Banking and Insurance)**

Under the Faculty of Commerce

By

Name of the Learner

Under the Guidance of

Name of the Guiding Teacher

Name and address of the College

Month and Year

2nd Page

This page to be repeated on 2nd page (i.e. inside after main page)



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On separate page

INDEX

Chapter No. 1 (sub point 1.1, 1.1.1,.....And soon)	Title of the Chapter	Page No.
Chapter No. 2	Title of the Chapter	
Chapter No. 3	Title of the Chapter	
Chapter No. 4	Title of the Chapter	
Chapter No. 5	Title of the Chapter	

- List of tables, if any, with page numbers.
- List of Graphs, if any, with page numbers.
- List of Appendix, if any, with page numbers.
- Abbreviations used:

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**Structure to be followed to maintain the uniformity in formulation and
presentation of Project Work**

(Model Structure of the Project Work)

❖ **Chapter No. 1: Introduction**

In this chapter Selection and relevance of the problem, the learner can incorporate historical background of the problem, brief profile of the study area, definition/s of related aspects, characteristics, different concepts pertaining to the problem etc.

❖ **Chapter No. 2: Research Methodology**

This chapter will include Objectives, Hypothesis, Scope of the study, limitations of the study, significance of the study, Selection of the problem, Sample size, Data collection, Tabulation of data, Techniques and tools to be used, etc. Can be incorporated by the learner.

❖ **Chapter No. 3: Literature Review**

This chapter will provide information about studies done on the respective issue. This would specify how the study undertaken is relevant and contribute for value addition in information/ knowledge/ application of study area, which ultimately helps the learner to undertake further study on same issue.

❖ **Chapter No. 4: Data Analysis, Interpretation and Presentation**

This chapter is the core part of the study. The learner will do the analysis pertaining to collected data. The application of selected tools or techniques will be used to arrive at findings. In this, the learner can provide table of information's, presentation of graphs etc. with interpretation.

❖ **Chapter No. 5: Conclusions and Suggestions**

In this chapter of project work, findings of work will be covered and suggestion will be listed to validate the objectives and hypotheses.

❖ **Note: If required more chapters of data analysis can be added.**

❖ **Bibliography**

❖ **Appendix**



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On separate page

Name and address of the college

Certificate

This is to certify that Ms. /Mr. _____ has worked and duly completed her/his Project Work for the degree of Bachelor in Commerce (Banking & Insurance) under the Faculty of Commerce in the subject of _____ and her/his project is entitled, “ _____ Title of the Project _____ ” under my supervision.

I further certify that the learner under my guidance has done the entire work and that no part of it has been submitted previously for any Degree or Diploma of any University.

It is her/ his own work and facts reported by her/his personal findings and investigations.



Name and Signature of
Guiding Teacher

Date of submission:



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On separate page

Declaration by learner

I the undersigned Miss./Mr. _____ (*Name of the learner*) here by, declare that the work embodied in this project work titled “ _____ (*Title of the Project*) _____ ” forms my own contribution to the research work carried out under the guidance of _____ (*Name of the guiding teacher*) is a result of my own research work and has not been previously submitted to any other University for any other Degree/ Diploma to this or any other University.

Wherever reference has been made to previous works of others, it has been clearly indicated as such and included in the bibliography.

I, here by further declare that all information of this document has been obtained and presented in accordance with academic rules and ethical conduct.

Name and Signature of the learner

Certified by

Name and signature of the Guiding Teacher



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V. G. Vaze College of Arts, Science and Commerce
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On separate page

Acknowledgment

(Model structure of the acknowledgement)

To list who all have helped me is difficult because they are so numerous and the depth is so enormous.

I would like to acknowledge the following as being idealistic channels and fresh dimensions in the completion of this project.

I take this opportunity to thank the **University of Mumbai** for giving me chance to do this project.

I would like to thank my **Principal**, _____ for providing the necessary facilities required for completion of this project.

I take this opportunity to thank our **Coordinator** _____, for her moral support and guidance.

I would also like to express my sincere gratitude towards my **project guide** _____ whose guidance and care made the project successful.

I would like to thank my **College Library**, for having provided various reference books and magazines related to my project.

Lastly, I would like to thank each person who directly or indirectly helped me in the completion of the project especially **my Parents and Peers** who supported me throughout my project.



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2. Guidelines for Internship based project work

- ❖ Minimum 20 days/ 100 hours of Internship with an Organization/ NGO/ Charitable Organization/ Private firm.
- ❖ The theme of the internship should be based on any study area of the elective courses
- ❖ Experience Certificate is Mandatory
- ❖ A project report has to be brief in content and must include the following aspects:
 - **Executive Summary:**
A bird's eye view of your entire presentation has to be precisely offered under this category.
 - **Introduction on the Company:**
A Concise representation of company/ organization defining its scope, products/ services and its SWOT analysis.
 - **Statement and Objectives:**
The mission and vision of the organization need to be stated enshrining its broad strategies.
 - **Your Role in the Organization during the internship:**
The key aspects handled the department under which you were deployed and brief summary report duly acknowledged by the reporting head.
 - **Challenges:**
The challenges confronted while churning out theoretical knowledge into practical world.
 - **Conclusion:**
A brief overview of your experience and suggestions to bridge the gap between theory and practice.
- ❖ The project report based on internship shall be prepared as per the broad guidelines given below:
 - Font type: Times New Roman
 - Font size: 12-For content, 14-forTitle
 - Line Space : 1.5-for content and 1-for in table work
 - Paper Size:A4
 - Margin : in Left-1.5,Up-Down-Right-1



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- The Project Report shall be bounded.
- The project report should be of minimum 50pages

Evaluation Pattern of the Project Work

The Project Report shall be evaluated in two stages viz.	
• Evaluation of Project Report (Bound Copy)	60 Marks
▪ Introduction and other areas covered	20 Marks
▪ Research Methodology, Presentation, Analysis and interpretation of data	30 Marks
▪ Conclusion & Recommendations	10 Marks
• Conduct of Viva-voce	40 Marks
▪ In the course of Viva-voce, the questions may be asked such as importance / relevance of the study, objective of the study, methodology of the study/ mode of Enquiry(question responses)	10 Marks
▪ Ability to explain the analysis, findings, concluding observations, recommendation, limitations of the Study	20 Marks
▪ Overall Impression (including Communication Skill)	10 Marks

Note:

The guiding teacher along with the external evaluator appointed by the University/ College for the evaluation of project shall conduct the viva-voce examination as per the evaluation pattern

Passing Standard

- ❖ Minimum of Grade E in the project component
- ❖ In case of failing in the project work, the same project can be revised for ATKT examination.
- ❖ Absence of student for viva voce: If any student fails to appear for the viva voce on the date and time fixed by the department such student shall appear for the viva voce on the date and time fixed by the Department, such student shall appear for the viva voce only along with students of the next batch.



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	Understand published works, learn to balance collaborative and individual work, determine an area of interest.
CO2	Understand Various Issues and Increase Public Awareness.

Recommended Resources	
Reference Books -	As given by respective guides.
<u>E-Resources</u>	-
Webliography:	-

The Kelkar Education Trust's
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Syllabus Prepared by:	
1.	Ms. Seema Pawar: Chairperson, Syllabus Committee, HOD - Dept. of SFC (Banking & Insurance), Assistant Professor, V. G. Vaze College, Mulund (East), Mumbai
2.	Ms. Anita Yakkali, Member, Syllabus Committee, Assistant Professor, Dept. of SFC (Accounting & Finance), V. G. Vaze College),Mulund (East), Mumbai
3.	Ms. Khursheed Shaikh: Member, Syllabus Committee, Assistant Professor, Dept. of SFC (B.M.S.), V. G. Vaze College , Mulund (East), Mumbai
4.	Ms Laveleen Kaur Narang : Member, Syllabus Committee, Assistant Professor, Dept. of SFC (Accounting & Finance) V. G. Vaze College) Mulund (East), Mumbai
5.	Mr. Rajesh Mane: Member, Syllabus Committee, Assistant Professor, Dept. of SFC (Accounting & Finance) V. G. Vaze College), Mulund (East), Mumbai
6.	Ms. Amruta Khanolkar: Member, Syllabus Committee, Assistant Professor, Dept. of SFC (B.M.S.), V. G. Vaze College , Mulund (East), Mumbai
7.	Ms. Aarti Shah: Member, Syllabus Committee, Assistant Professor, Dept. of SFC (B.M.S.), V. G. Vaze College , Mulund (East), Mumbai
8.	Ms Annu Singh: Member, Syllabus Committee, Assistant Professor, Dept. of SFC (Accounting & Finance), V. G. Vaze College , Mulund (East), Mumbai
9.	Ms. Niti Shirke: Member, Syllabus Committee, Assistant Professor, Dept. of SFC (Accounting & Finance) V. G. Vaze College , Mulund (East), Mumbai
10.	Mr. Aditya Davane: Member, Syllabus Committee, Assistant Professor, Dept. of SFC (B.M.S.), V. G. Vaze College , Mulund (East), Mumbai
11.	Ms. Sunanda Pandita: Member, Syllabus Committee, Assistant Professor, Dept. of SFC (Banking & Insurance), V. G. Vaze College , Mulund (East), Mumbai



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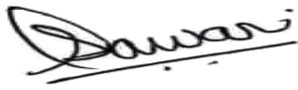
12. Mr. Ambadas Shinge : Member, Syllabus Committee,
Assistant Professor,
Dept. of SFC (Banking & Insurance),
V. G. Vaze College , Mulund (East), Mumbai

13. Ms. Mayura Ranade, Syllabus Committee,
Assistant Professor,
Dept. of SFC (Banking & Insurance),
V. G. Vaze College, Mulund (East), Mumbai

**The Kelkar Education Trust's
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The Final Syllabus which has been approved by the following BOS Members

Ms. Seema Pawar	:	HOD (Banking & Insurance)
Ms. Anita Yakkali	:	Member – Faculty
Ms. Annu Singh	:	Member – Faculty
Mr. Ambadas Shinge	:	Member – Faculty
Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
Ms. Ashwini Mondkar	:	Member – Visiting Faculty
Ms. Parinaaz Mehta	:	Subject Expert from NMIMS University
Dr. (CA) Seema Tarak Shah	:	Subject Expert from SNDT University
Ms. Devashree M. Ghorpade	:	Vice Chancellor Nominee
Mr. Viral Rami	:	Industry / Corporate Sector
Mr. Suraj Sakpal	:	Meritorious Alumni
Dr. Mrunmayee R. Thatte.	:	Expert from VPM's N.G. Bedekar College, Thane
Ms. Laveleen Kaur Narang	:	Other Member form Staff



**Ms. Seema Pawar
Chairperson
BOS (B & I)
Date – 17th Aug. 2020**



**Ms. Devashree M. Ghorpade
Vice Chancellor Nominee
BOS (B & I)
Date – 17th Aug. 2020**



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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & Insurance)

Semester - VI

**Course: SECURITY ANALYSIS AND
PORTFOLIO MANAGEMENT**

Course Code	Course Title	Credit
BISPM603	Security Analysis and Portfolio Management	03



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1. Syllabus as per **Choice Based Credit System**

I) Name of the Programme	:	B. Com (Banking & Insurance)
ii) Course Code	:	BISPM603
		Semester VI
iii) Course Title	:	Security Analysis & Portfolio Management
iv) Semester wise Course Contents	:	Copy of the syllabus Enclosed
v) References and additional references	:	Enclosed in the Syllabus
vi) Credit structure		
No. of Credits per Semester	:	03
vii) No. of lectures per Unit	:	12
viii) No. of lectures per week	:	04
ix) No. of Tutorial per week	:	---
		<ul style="list-style-type: none">• Semester End Exam:60 marks (5 Questions of 12 marks each)• Internal Assessment 40 marks:<ul style="list-style-type: none">-Test 15 marks,-Project/ Assignment 15 marks-Class Participation: 10 marks
2 Scheme of Examination	:	
3 Special notes, if any	:	No
4 Eligibility, if any	:	As laid down in the College Admission brochure/website
5 Fee Structure	:	As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any	:	No



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Programme: TY B. Com (Banking and Insurance)

Semester: VI

Course : Security Analysis and Portfolio Management

Course Code : BISPM603

Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	3	15	15	10		-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge about Security Analysis and portfolio Management.

: Basic interest in analyzing the risk and return of portfolio.

Course Objectives:

- 1 Have knowledge about the portfolio and its creation.
- 2 Analyse various securities in terms of risk and return.
- 3 Do technical analysis of the created portfolio.



The Kelkar Education Trust's
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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	<p>PORTFOLIO MANAGEMENT – AN INTRODUCTION & PROCESS</p> <ul style="list-style-type: none"> • Investment - Meaning, Characteristics, Objectives, Investment V/s Speculation, Investment V/s Gambling and Types of Investors • Portfolio Management – Meaning, Evolution, Phases, Role of Portfolio Managers, Advantages of Portfolio Management. • Investment Environment in India and factors conducive for investment in India. Portfolio Analysis – Meaning and its Components, Calculation of Expected Return and Risk, Calculation of Covariance, Risk – Return Trade off. • Portfolio Selection – Meaning, Feasible Set of Portfolios, Efficient Set of Portfolios, Selection of Optimal Portfolio, Markowitz Model, Limitations of Markowitz Model, Measuring Security Return and Portfolio Return and Risk under Single Index Model and Multi Index Model. 	12
II	<p>PORTFOLIO MANAGEMENT – VALUATION</p> <ul style="list-style-type: none"> • Portfolio Revision – Meaning, Need, Constraints and Strategies. • Portfolio evaluation – Meaning, Need and Measuring Returns • (Sharpe, Treynor and Jensen Ratios) and Decomposition of Performance. Bond Valuation – Meaning, Measuring Bond Returns, Yield to Maturity, Yield to Call and Bond Pricing. Bond Pricing Theorems, Bond Risks and Bond Duration. 	12
III	<p>FUNDAMENTAL ANALYSIS</p> <ul style="list-style-type: none"> • Economy Analysis – Meaning, Framework, Economic Analysis, Forecasting, Barometric or Indicator Approach, Econometric Model Building and Opportunistic Model Building. • Industry Analysis – Concept of Analysis, Industry Life Cycle, Industry Characteristics • Company Analysis – Financial Statements, Analysis of Financial Statements, (Practical questions on Debt equity ratios, total debt ratio, proprietary ratios, interest coverage ratio, Profitability ratios related to sales, investment and equity • shares Efficiency or Activity Ratios) and Assessment of risk (Leverages) 	12



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IV	TECHNICAL ANALYSIS <ul style="list-style-type: none"> • Dow Theory • Meaning and Principles of Technical Analysis, Price Chart, Line Chart, Bar Chart, Japanese Candlestick Chart, Trends and Trend Reversals, Chart Patterns, Support and Resistance, Reversal Patterns, Continuation Patterns and Elliot Wave Theory Mathematical Indicators – Calculation of Moving Averages (Simple and Exponential Moving Average), Oscillators and Relative Strength Index • Market Indicators • Fundamental Analysis V/s Technical Analysis 	12
V	EFFICIENT MARKET THEORY & CAPM <ul style="list-style-type: none"> • Random Walk Theory • (The Efficient Market Hypothesis) • (Forms of Market Efficiency)(Competitive Market Hypothesis) • CAPM – Fundamental Notions of Portfolio Theory, Assumption of CAPM, Efficient Frontier with Riskless Lending and Borrowing, Capital Market Line, Security Market Line and Pricing of Securities with CAPM. • Arbitrage Pricing Theory (APT) – The Return Generating Model, Factors Affecting • Stock Return, Expected Return on Stock, APT V/s CAPM. 	12
Total No. of Lectures		60

Beyond the Syllabus

Group Discussions & Book Review.



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Solve Any One of the Two. (10 Marks each) (Unit based practical questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40



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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: 2 Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Practical Question OR Full Length Practical Question	12
Q.3	Full Length Practical Question OR Full Length Practical Question	12
Q.4	Full Length Practical Question OR Full Length Practical Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60
<p>Note:</p> <p>Practical question of 12 marks may be divided into two sub questions of 6/6.</p>		

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Course Outcomes:	
	After the completion of the course, students will able to
CO1	Know Portfolio selection and feasible set of portfolio.
CO2	Do Portfolio revision if required.
CO3	Understand Economic Analysis, Industry Analysis and Company Analysis.
CO4	Do Technical Analysis through various charts.
CO5	Learn Capital Asset Pricing Model.

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> Blake, David 1992, Financial Market Analysis , McGraw Hill London Francis J.C Investments, Analysis and Management McGraw Hill New York. Pistolese Clifford Using Technical Analysis Vision Boo Reilly Frank K and Keith Brown Investment Analysis and Portfolio Management
<u>E-Resources</u>	-
Webliography:	-

The Kelkar Education Trust's
V. G. Vaze College of Arts, Science and Commerce
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Syllabus Prepared by:

1. Mr. Krishnan S.: Chairperson, Syllabus Committee
Visiting Faculty

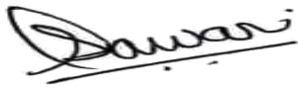
2 .Ms. Annu Singh: Member, Syllabus Committee
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Dept. of SFC,
V. G. Vaze College ,Mulund (East), Mumbai



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V. G. Vaze College of Arts, Science and Commerce
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Ms. Seema Pawar	:	HOD (Banking & Insurance)
Ms. Anita Yakkali	:	Member – Faculty
Ms. Annu Singh	:	Member – Faculty
Mr. Ambadas Shinge	:	Member – Faculty
Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
Ms. Ashwini Mondkar	:	Member – Visiting Faculty
Ms. Parinaaz Mehta	:	Subject Expert from NMIMS University
Dr. (CA) Seema Tarak Shah	:	Subject Expert from SNDT University
Ms. Devashree M. Ghorpade	:	Vice Chancellor Nominee
Mr. Viral Rami	:	Industry / Corporate Sector
Mr. Suraj Sakpal	:	Meritorious Alumni
Dr. Mrunmayee R. Thatte.	:	Expert from VPM's N.G. Bedekar College, Thane
Ms. Laveleen Kaur Narang	:	Other Member form Staff



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Chairperson
BOS (B & I)
Date – 17th Aug. 2020



Ms. Devashree M. Ghorpade
Vice Chancellor Nominee
BOS (B & I)
Date – 17th Aug. 2020



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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & insurance)

Semester V

Course: HUMAN RESOURCE MANAGEMENT

Course Code	Course Title	Credit
BIHRM604	Human Resource Management	03



The Kelkar Education Trust's
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(Autonomous)

1. Syllabus as per **Choice Based Credit System**

i) Name of the Programme	:	B. Com (Banking and insurance)
ii) Course Code	:	BIHRM604 Semester V
iii) Course Title	:	Human Resource Management
iv) Semester wise Course Contents	:	Copy of the syllabus Enclosed
v) References and additional references	:	Enclosed in the Syllabus
vi) Credit structure	:	
No. of Credits per Semester	:	03
vii) No. of lectures per Unit	:	15,10,10,15,10
viii) No. of lectures per week	:	04
ix) No. of Tutorial per week	:	---
		<ul style="list-style-type: none">• Semester End Exam:60 marks (5 Questions of 12 marks each)
2 Scheme of Examination	:	<ul style="list-style-type: none">• Internal Assessment 40 marks: -Test 15 marks, -Project/ Assignment 15 marks -Class Participation: 10 marks
3 Special notes, if any	:	No
4 Eligibility, if any	:	As laid down in the College Admission brochure/website
5 Fee Structure	:	As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any	:	No



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Semester: VI

Programme: TY B. Com (Banking and insurance)

Course : Human Resource Management

Course Code :BIHRM604

Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	3	15	15	10	-	-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites

- 1) Basic interest in principles of management.
- 2) General interest in administrative activities of the organization like recruitment selection & HR planning.

Course Objectives:

- 1 To understand the concept of HRM in banking and insurance.
- 2 To understand how to manage human resource, analyse jobs, design jobs for employees of banking and insurance
- 3 To understand human resource planning, to keep HR inventory, recruit and select employees in service sector organization.
- 4 To understand importance of training and development and its outcome.
- 5 Understand issues and challenges of HR in service sector organization, concept of voluntary retirement and employees participation



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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	FRAMEWORK OF HUMAN RESOURCE MANAGEMENT <ul style="list-style-type: none"> • Introduction to HRM, Nature of HRM, Scope of HRM, Functions and Objectives of HRM, HRM Policies and Practices, Role and Functions of HR Manager (in Banking and Insurance Sector) • HRM and Strategies, Strategic function of HRM, Understanding and Implementing Global Competitiveness and HR, strategic HR, Linkages of Organizational and HR Strategies. 	15
II	HR PROCUREMENT <ul style="list-style-type: none"> • Job Analysis and Design- Job Analysis, Introduction, Importance, Purpose , Benefits, Job Evaluation, Competency Based Job Analysis • Job Design- Introduction, Characteristics, Factor Affecting Job Design, Job Satisfaction. 	10
III	HR PLANNING AND RECRUITMENT <ul style="list-style-type: none"> • Definition, Objectives, Need and Importance of HR Planning, Preparing Manpower Inventory. Promotions and Transfers. • Recruitment- Strategic Approach to Recruitment, Recruitment Source; Internal and External, Selection Procedure. 	10
IV	TRAINING AND DEVELOPMENT <ul style="list-style-type: none"> • Employee Training and Development - Nature and Process of Training, Training methods, on the job, off the job. • Management Development Program, Performance Appraisal - Definition, • Methods. Advantages and Limitations of Appraisal. 	15
V	COMPENSATION <ul style="list-style-type: none"> • Meaning, Need and Importance, Current Trends in Compensation, Team Based Incentives, Pension Schemes with Reference to Banking and Insurance, Fringe Benefits, Perquisites, Allowances and other Non – Monetary Benefits • Voluntary Retirement Scheme - Concept, Types, Needs, Effects with reference to Banking and Insurance • Participative Management • Meaning, Levels, Types, Employee Welfare, Comparative Study of Working Conditions in Banks, Financial Institutions, Insurance Companies. 	10
Total No. of Lectures		60

Beyond the Syllabus

Case study, role play, group discussion, presentation



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Answer Any Two of the Three. (05 Marks each) (Unit based Theory questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40



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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: two Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Theory Question OR Full Length Theory Question	12
Q.3	Full Length Theory Question OR Full Length Theory Question	12
Q.4	Full Length Theory Question OR Full Length Theory Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60
<p>Note:</p> <p>Practical question of 12 marks may be divided into two sub questions of 6/6.</p>		



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	Learner will acquire knowledge about human resource functions, role of hr manager, how HR policies are designed.
CO2	Learner will understand process of job analysis, meaning of job evaluation job design and factors affecting job design in banking and insurance sector.
CO3	Learn will understand meaning of HR planning, importance of maintaining human resource inventory, recruitment selection and it's sources for banking and insurance.
CO4	Students will acquire knowledge about training and development, reinforcement of training, how performance appraisal techniques are used in service sector organizations.
CO5	Learner will understand voluntary retirement schemes of banking and insurance with reference to case studies, current trends of compensation and participative practices used in financial institutions.

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> ● Human Resources Management, Gary Dessler ● Personnel Management – C.B Marmora ● Managing Human Resources , R.S. Dwiwedi ● Human Resources Management, V.P.Michael ● Human Resources Management – Dr.P.C.Pardeshi ● Human Resources Management – Mirza & Zaiyadin ● Human Resources Management – L.M. Prasad Human Resources Management , Ashwathappa
<u>E-Resources</u>	-
Webliography:	-



The Kelkar Education Trust's
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Syllabus Prepared by:

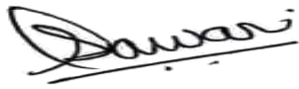
- | |
|--|
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Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
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**Ms. Seema Pawar
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Date – 17th Aug. 2020**



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Date – 17th Aug. 2020**

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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & Insurance)

Semester VI

Course: TURNAROUND MANAGEMENT

Course Code	Course Title	Credit
BITMG605	Turnaround Management	03



The Kelkar Education Trust's
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1. Syllabus as per **Choice Based Credit System**

i) Name of the Programme	:	B. Com (Banking & Insurance)
ii) Course Code	:	BITMG605 Semester VI
iii) Course Title	:	Turnaround Management
iv) Semester wise Course Contents	:	Copy of the syllabus Enclosed
v) References and additional references	:	Enclosed in the Syllabus
vi) Credit structure	:	
No. of Credits per Semester	:	03
vii) No. of lectures per Unit	:	10,15,15,12,08
viii) No. of lectures per week	:	04
ix) No. of Tutorial per week	:	---
2 Scheme of Examination	:	<ul style="list-style-type: none">• Semester End Exam:60 marks (4 Questions of 15 marks)• Internal Assessment 40 marks: -Test 15 marks, -Project/ Assignment 15 marks -Class Participation: 10 marks
3 Special notes, if any	:	No
4 Eligibility, if any	:	As laid down in the College Admission brochure/website
5 Fee Structure	:	As per College Fee Structure specifications
6. any	:	N o



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Programme: TY B. Com (Banking & Insurance)

Semester: VI

Course : Turnaround Management

Course Code :BITMG605

Teaching Scheme (Hrs/Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	3	15	15	10		-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites 1. Meaning and basic concepts associated with Business.
 2. Strategies involved while doing up a Business.

Course Objectives:

- 1 Know the strategies involved for internal and external growth and expansion.
- 2 Know the meaning and criteria for sickness of a company
- 3 Understand the internal and external causes for sickness of a company and how to overcome them.
- 4 Know the recent trends in business



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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	INTRODUCTION TO BUSINESS <ul style="list-style-type: none"> • Meaning, Definition, Features, Importance, Symptoms, Types of Business Organization. • Different approaches for Growth and Survival. • Internal Strategies • External Strategies • Survival Strategies 	10
II	INDUSTRIAL SICKNESS <ul style="list-style-type: none"> • Meaning and Internal /External Reasons of Industrial Sickness • Symptoms of Industrial Sickness • Measures to Overcome Sickness by Government and Stakeholders • Role of BIFR in Sick Industries 	15
III:	TURNAROUND MANAGEMENT STRATEGIES <ul style="list-style-type: none"> • Turnaround – Meaning, Definition, Indicators of Successful Turnaround • TQM and Restructuring. • Selling of a sick unit • BPR • Meaning, Definition, Elements, Need, Implications • Approaches (Reengineering Team, BPR Leader, Process Owner, Kaizen, Flow Chart, 5S principles, Process Mapping) 	15
IV.	BUSINESS SCENARIO <ul style="list-style-type: none"> • Features, Advantages, Disadvantages, Types and Present Status of: • Outsourcing • Networking • Franchising • Free lancing • Self-Financing • Startup 	12
V.	LEADERSHIP AND TURNAROUND MANAGEMENT <ul style="list-style-type: none"> • Outfitting the Management Team, Personal Characteristic, Focusing on Present Operations, Focus on Needs in Turnarounds, Styles of Decision Making in the Turnaround Process, Organizational Change. Quality in the Managerial Process, • Dilemma of Management, Turnaround Management as a Skill. 	08
Total No. of Lectures		60

Beyond the Syllabus

Paper presentations, discussions.



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Internal Assessment		
Sr. No.	Description	Marks
I	Class Test	15
	Q.1 Objectives. (1 Mark each) (Fill in the blanks / True or False / Match the Following)	
	Q.2 Answer Any Two of the Three. (05 Marks each) (Unit based theory questions)	
II	Project / Assignment	15
III	Active participation in routine class instructional deliveries and overall conduct as a responsible learner, mannerism and articulation.	10
	TOTAL MARKS	40



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External Assessment		
<ul style="list-style-type: none"> • Maximum Marks: 60 • Questions to be set: 05 • Duration: 2 Hrs. • All Questions are Compulsory Carrying 12 Marks each. 		
Q. No.	Description	Marks
Q.1	Objective Questions A) Sub Questions to be asked 8 and to be answered any 06 B) Sub Questions to be asked 8 and to be answered any 06 (Multiple choice / True or False / Match the columns/Fill in the blanks)	12
Q.2	Full Length Theory Question OR Full Length Theory Question	12
Q.3	Full Length Theory Question OR Full Length Theory Question	12
Q.4	Full Length Theory Question OR Full Length Theory Question	12
Q.5	A) Theory questions (6 Marks) B) Theory questions (6 Marks) OR C) Short Notes (4 Marks Each) To be asked 05 To be answered 03	12
	TOTAL MARKS	60
<p>Note:</p> <p>Practical question of 12 marks may be divided into two sub questions of 6/6.</p>		



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Course Outcomes:	
	After completing the course the student will be able to
CO1	Understand the growth and expansion strategy for a business.
CO2	Understand the concept of sickness and ways to overcome sickness.
CO3	Understand the role of quality management and different cost effective techniques in business.
CO4	Have knowledge of recent trends in business.
CO5	Understand and choose proper leadership style, adopt and develop skills required to manage a business.

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> Practical Shutdown & Turnaround Management for k, Engineers & Managers (English, Paperback, IDC Technologies Pvt Ltd.) Managing Corporate Turnaround Text & cases Ram AvtarYadav, Concept Publishing Co. Business Process Reengineering, O.P. Agrawal The Turnaround Experience –Feddrick Zimmerman
<u>E-Resources</u>	-
Webliography:	-



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Syllabus Prepared by:

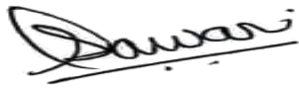
1. Ms. Seema Pawar: Chairperson, Syllabus Committee
Assistant Professor,
HOD, Dept. of SFC,
V. G. Vaze College, Mulund (East), Mumbai
2. Ms. Arati Shah: Member, Syllabus Committee
Assistant Professor,
Dept. of SFC,
V. G. Vaze College, Mulund (East), Mumbai



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The Final Syllabus which has been approved by the following BOS Members

Ms. Seema Pawar	:	HOD (Banking & Insurance)
Ms. Anita Yakkali	:	Member – Faculty
Ms. Annu Singh	:	Member – Faculty
Mr. Ambadas Shinge	:	Member – Faculty
Ms. Sunanda Pandita	:	Member – Faculty
Ms. Mayura Ranade	:	Member – Faculty
Mr. S. Krishnan	:	Member – Visiting Faculty
Ms. Geeta Gokhale	:	Member – Visiting Faculty
Ms. Ashwini Mondkar	:	Member – Visiting Faculty
Ms. Parinaaz Mehta	:	Subject Expert from NMIMS University
Dr. (CA) Seema Tarak Shah	:	Subject Expert from SNDT University
Ms. Devashree M. Ghorpade	:	Vice Chancellor Nominee
Mr. Viral Rami	:	Industry / Corporate Sector
Mr. Suraj Sakpal	:	Meritorious Alumni
Dr. Mrunmayee R. Thatte.	:	Expert from VPM's N.G. Bedekar College, Thane
Ms. Laveleen Kaur Narang	:	Other Member form Staff



**Ms. Seema Pawar
Chairperson
BOS (B & I)
Date – 17th Aug. 2020**



**Ms. Devashree M. Ghorpade
Vice Chancellor Nominee
BOS (B & I)
Date – 17th Aug. 2020**



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Syllabus for TY B. Com (Banking & Insurance)

(June 2020 Onwards)

Program: B. Com (Banking & Insurance)

Semester VI

Course: INTERNATIONAL BUSINESS

Course Code	Course Title	Credit
BIIB606	International Business	03



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1. Syllabus as per **Choice Based Credit System**

- I) Name of the Programme : B. Com (Banking & Insurance)
- ii) Course Code : BIIB606
- Semester VI
- iii) Course Title : International Business
- iv) Semester wise Course Contents : Copy of the syllabus Enclosed
- v) References and additional references: Enclosed in the Syllabus
- vi) Credit structure
- No. of Credits per Semester : 03
- vii) No. of lectures per Unit : 12
- viii) No. of lectures per week : 04
- ix) No. of Tutorial per week : ---
- Semester End Exam:60 marks
(5 Questions of 12 marks each)
- 2 Scheme of Examination :
- Internal Assessment 40 marks:
 - Test 15 marks,
 - Project/ Assignment 15 marks
 - Class Participation: 10 marks
- 3 Special notes, if any : No
- 4 Eligibility, if any : As laid down in the College Admission brochure/website
- 5 Fee Structure : As per College Fee Structure specifications
6. Special Ordinances / Resolutions, if any : No



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Programme: TY B. Com (Banking & Insurance)

Semester: VI

Course : International Business

Course Code:BIIB606

Teaching Scheme (Hrs./Week)				Continuous Internal Assessment (CIA) 40 marks					End Semester Examination	Total
L	T	P	C	CIA-1	CIA-2	CIA-3	CIA-4	Lab	Written	
4	-	-	3	15	15	10	-	-	60	100
Max. Time, End Semester Exam (Theory) -2Hrs.										

Prerequisites : Basic knowledge about international business.
: Basic interest in current business situations at global level.

Course Objectives:

- 1 It will help students with a theoretical framework so as to understand, interpret, and analyse key issues in international business
- 2 This course will provide students with the knowledge, skills& abilities to understand the global, economic, political and social environment within which firms operate.
- 3 It will prepare students with a good understanding of the theoretical foundation of international business strategies.



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<u>Units</u>	<u>Module</u>	<u>Lectures</u>
I	INTRODUCTION TO INTERNATIONAL BUSINESS: <ul style="list-style-type: none"> • Introduction to International Business- Importance, Nature and Scope of International Business, Drivers of International Business, Evolution of International Business, Strategies of Going International, Globalization, Multi-National Corporations- Nature, Goals of MNCs, India's Presence- Advantages and Disadvantages of MNCs • International Business Environment: Economic, Political, Cultural and Legal Environments in International Business 	12
II	INTERNATIONAL ECONOMIC INSTITUTIONS AND REGIONAL GROUPINGS : <ul style="list-style-type: none"> • Institutional Support to International Business, Role of World Bank, IMF, ILO, UNCTAD, UNIDO and ADB in International Business, World Trade Organization (WTO), Origin, Objectives, Functions ,GATT and WTO, Principles of WTO, Transparency, MFN Treatment, National Treatment, Free Trade, Dismantling Trade Barriers, Rule Based Trading System, Treatment for LDCs ,Competition Principle, Environment Protection, Key Subjects in WTO, Agriculture, TRIPS, TRIMS, GATS, Implications for India • Integration between Countries: Levels of Integration, Growth of Trading Blocs, Impact of Integration, Major Regional Trading Groups, The European Union, NAFTA, APEC, ASEAN, MERCOSUR, BRICS, SAARC, OPEC 	12
III	INTERNATIONAL MARKETING, HUMAN RESOURCE MANAGEMENT <ul style="list-style-type: none"> • Domestic and International Marketing, Compared Benefits of International Marketing, Major Activities, International Market Assessment, International Product Strategies, Pricing Issues and Decisions, Dumping, Promotion Issues and Policies. • International Human Resource Management, Nature, Growing Interest in IHRM, DHRM and IHRM compared, Managing International HR activities, Expatriation and Repatriation of employees 	12
IV	PRELIMINARIES FOR EXPORT IMPORT AND DOCUMENTATION <ul style="list-style-type: none"> • Meaning and Definition of Export, Methods of Exporting, Registration Formalities for Exports, Export Licensing, Selection of Export Product, Identification of Market for Exports – Export Pricing Quotations, FOB & CIF, Meaning and Definition of Imports, Liberalization of Imports, Negative list of Imports ,Categories of Importers, Special Schemes for Importers. • Commercial Invoice , Shipping Bill , of Origin, Consular Invoice, Mate's Receipt, Bill of Lading, GR Form, ISO 9000, Procedure 	12



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	for obtaining ISO 9000, BIS 14000 Certification ,Import Documentation, Transport Documents - Bill of Entry, Certificate of Inspection, Certificate of Measurements, Freight Declaration.	
V	EXPORT IMPORT PROCEDURES AND FOREIGN TRADE POLICY <ul style="list-style-type: none"> • Steps in Export Procedure , Export Contract, Forward Cover, Export Finance, Institutional Framework for Export Finance, Excise Clearance, Pre-shipment Inspection, Methods of Pre-shipment Inspection, Role of Clearing and Forwarding Agents, Shipping and Customs Formalities, Customs EDI System, Negotiation of Documents, Realization of Exports Proceeds. • Pre-Import Procedure- Steps in Import Procedure, Legal Dimensions of Import Procedure, and Customs Formalities for Imports, Warehousing of Imported Goods, Exchange Control Provisions for Imports & Retirement of Export Documents. • Foreign Trade Policy Highlights (latest), Duty Drawback, Deemed Exports, ASIDE, • MAI & MDA, Star Export Houses, Town of Export Excellence, EPCG Scheme. 	12
Total No. of Lectures		60

Beyond the Syllabus

Group Discussions & Book Review.



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Course Outcomes:	
	After the completion of the course, students will able to
CO1	Explain and practice business strategies..
CO2	Will be in a position to explain the recent trends in the business with the help of export strategies and mergers & acquisitions
CO3	Will be able to conduct, evaluate market research to support an organization's international business decision making.
CO4	Able to analyze changing trends of exports & imports at global level.
CO5	Apply the current business phenomenon and to evaluate the global business environment in terms of economic, social and legal aspects

Recommended Resources	
Reference Books -	<ul style="list-style-type: none"> • Economic Survey, Govt. of India. Various issues • Export-import Policy and Other Documents, Govt. of India • Czinkota, Michael R, 8th Edition, Publisher Wiley,2010. • Hill, Charles W. L., International Business, McGraw Hill, 2011,NewYork. • Aswathappa K, International Business, Tata McGraw Hill Education, 2010.
<u>E-Resources</u> Webliography:	



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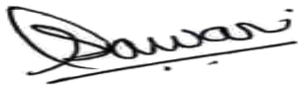
1. Mr. Krishnan S.: Chairperson, Syllabus Committee
Visiting Faculty,
2. Ms. Mayura Ranade: Member, Syllabus Committee
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--THE END--